

011-2-11893-US01  
282-355058

### Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4723281
<b>Application Number:</b>	10533888
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9697
<b>Title of Invention:</b>	POLISHING COMPOSITION AND RINSING COMPOSITION
<b>First Named Inventor/Applicant Name:</b>	Akihro Kawase
<b>Customer Number:</b>	00490
<b>Filer:</b>	Scott Quinn Vidas
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	011 2-11893-US01
<b>Receipt Date:</b>	03-FEB-2009
<b>Filing Date:</b>	21-DEC-2005
<b>Time Stamp:</b>	11:41:58
<b>Application Type:</b>	U S National Stage under 35 USC 371

#### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$100
RAM confirmation Number	7005
Deposit Account	
Authorized User	

#### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Miscellaneous Incoming Letter	11893US01_ElectFilingTransmittal_20090203.pdf	100664 36c3794ee02c102943b30136a1c1f723b c334	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2	Request for Certificate of Correction	11893US01_RequestForCorrection_20090203.pdf	100226 8c2f070c7d8a4830c962083c1703a1e4 a837	no	2
<b>Warnings:</b>					
<b>Information:</b>					
3	Miscellaneous Incoming Letter	11893US01_FormPTO1050_20090203.pdf	98717 1a2279d58c4c5a7991191f1b3a6a32a3 a210	no	2
<b>Warnings:</b>					
<b>Information:</b>					
4	Miscellaneous Incoming Letter	11893US01_DupFormPTO1050_20090203.pdf	116216 0a876436e0e0d1599132b34599970c1a a377	no	2
<b>Warnings:</b>					
<b>Information:</b>					
5	Fee Worksheet (PTO-06)	fee-info.pdf	30249 c4d454f68a3a72ee0d0d350c930c9134 c4de	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			446072		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b>New Applications Under 35 U.S.C. 111</b> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b>National Stage of an International Application under 35 U.S.C. 371</b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b>New International Application Filed with the USPTO as a Receiving Office</b> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

**Electronic Patent Application Fee Transmittal**

<b>Application Number:</b>	10533888			
<b>Filing Date:</b>	21-Dec-2005			
<b>Title of Invention:</b>	POLISHING COMPOSITION AND RINSING COMPOSITION			
<b>First Named Inventor/Applicant Name:</b>	Akihiro Kawase			
<b>Filer:</b>	Scott Quinn Vidas/Robin Peddieson			
<b>Attorney Docket Number:</b>	011 2-11893-US01			
Filed as Large Entity				
<b>U.S. National Stage under 35 USC 371 Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				
Certificate of correction	1811	1	100	100
<b>Extension-of-Time:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$)				100

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Akihiro KAWASE, Toshihiro MIWA, Kenji SAKAMOTO, Ichiro HAYASHIDA
Application No.:	10/533888
Filed:	December 21, 2005
For:	POLISHING COMPOSITION AND RINSING COMPOSITION
Examiner:	Binh X. Tran
Group Art Unit:	1792
Confirmation No.:	9697

Commissioner for Patents  
P O Box 1450  
Alexandria, VA 22313-1450

Docket No.: O11.21-11893-US01

TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: **2 pages Request for Certificate of Correction; and 2 pages PTO Form 1050 (PTO/SB/44) as two separate attachments as a duplicate copy is required (4 pages total).**
2. With respect to fees, applicant believes the fees required herein, if any, are being paid electronically
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**  
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees associated with this communication to the Deposit Account No. 22-0350.

*Transmittal Letter  
Request for Correction  
Page 2*

*Application No.: 10/533,888  
Issued Patent No.: US 7,481,949  
Issue Date: Jan. 27, 2009*

- 5     **Certification:** I hereby certify that this Transmittal Letter and the paper(s) as described herein are being transmitted electronically to the USPTO on **February 3, 2009**.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: **February 3, 2009**

By: /Scott Q. Vidas/  
Scott Q. Vidas  
Registration No : 30812

6640 Shady Oak Dr., Suite 400  
Eden Prairie, MN 55344-7834  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Akihiro KAWASE, Toshihiro MIWA, Kenji SAKAMOTO, Ichiro HAYASHIDA
Issue No.:	7481949
Issue Date:	January 27, 2009
For:	POLISHING COMPOSITION AND RINSING COMPOSITION
Group Art Unit:	1792

Commissioner for Patents  
P O Box 1450  
Alexandria, VA 22313-1450

Docket No.: O11.21-11893-US01

REQUEST FOR CERTIFICATE OF CORRECTION  
OF PATENT FOR APPLICANT'S MISTAKE (37 CFR 1.322(a) AND PETITION  
UNDER 37 CFR 1.183 TO CORRECT ASSIGNEE

1. It is noted that an error appears in this patent of a

- ☒ clerical  
☐ typographical  
☐ minor

nature or character as more fully described below and occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination and a certificate of correction is requested

- 2 Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing

*NOTE: Form PTO-1050, using the column and line number in the printed patent should be used exclusively regardless of the length or complexity of the subject matter. MPEP §1485*

*NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. MPEP §1485*

- 3 The exact page and line number where the errors occur in the application file are:  
**On face of patent at (73) Assignee:**  
**Please add additional assignee: Fujimi Incorporated, Nishikasugai-gun (JP)**

*NOTE: The information should be identified in this request, however on Form PTO-1050 only the column and the line number in the printed patent should be used. MPEP §1485*

4. Please send the Certificate to:

Scott Q. Vidas  
VIDAS, ARRETT & STEINKRAUS  
Suite 400  
6640 Shady Oak Rd  
Eden Prairie, MN 55344-7834

5. Please pay the fee of \$300.00 as required by 37 CFR 1.20(a) as follows:

- ☐ Enclosed is a check for \$\*\*\*\*\*  
☐ Charge Deposit Account 22-0350 the sum of \$\*\*\*\*\* A  
duplicate of this request is attached  
☒ **Paid by credit card**

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: February 3, 2009

By: /Scott Q. Vidas/  
Scott Q. Vidas  
Registration No : 30812

6640 Shady Oak Dr., Suite 400  
Eden Prairie, MN 55344-7834  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001

\\wpwork\sqv\11893us01\_RequestForCorrection\_20090203.doc

Type Name of Assignees:

**Fujimi Incorporated and Wako Pure Chemical Industries, LTD.**

Assignment Recorded on August 31, 2005, at Reel 016704, Frame 0046



UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO US 7,481,949 B2

APPLICATION NO: 10/533,888

ISSUE DATE : Jan. 27, 2009

INVENTOR(S) : Akihiro Kavase; Toshihiro Miwa; Kenji Sakamoto; Tshiro Hayashida

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please add additional assignee on face of patent at (73)  
Fujimi Incorporated, Nishikasugai-Gun (JP)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323 and 1.324. The information is required to obtain or retain a benefit by the public which is to be (as by the USPTO) in process in application. Confidentiality is granted by 37 CFR 1.12 and 37 CFR 1.14. This collection is estimated to take 10 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1656, Alexandria, VA 22313-1456. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

If you need assistance in completing the form call 1-800-PTO-9799 and select option 2

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2 A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4 A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5 A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6 A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7 A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8 A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application or an application open to public inspection or an issued patent.
- 9 A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO US 7,481,949 B2

APPLICATION NO 10/533,888

ISSUE DATE : Jan. 27, 2009

INVENTOR(S) : Akihiro Kawase; Toshihiro Miwa; Kenji Sakamoto; Ishiro Hayashida

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please add additional assignee on face of patent at (73)  
Fujimi Incorporated, Nishikasugai-Gun (JP)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.524. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 10 hour to complete, including gathering, reviewing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 2 A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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